Columbus Blue Jackets College Savings Assist Giveaway

January 1, 2022 through 11:59 p.m. Eastern Time (ET) Thursday, March 17, 2022

Sweepstakes Rules

- 1. **Certification of Entrant:** By entering the Columbus Blue Jackets College Savings Assist Giveaway (the "Sweepstakes"), you agree to be bound by these Sweepstakes Rules and acknowledge that you have complied with these Sweepstakes Rules, including but not limited to verifying that you are an eligible individual as described in item five (5) below.
- 2. **No purchase required:** No purchase or contribution to a CollegeAdvantage 529 Savings Plan is necessary to enter. No purchase or contribution will increase your chances of winning.
- 3. **Required information to enter:** You must provide your first and last name, date of birth including year, a valid email address, a valid telephone number, and your city and state of residence.
- 4. **Number of entries allowed:** One (1) entry per day, per email address.
- 5. **Eligibility:** To be eligible to enter and win, you must meet the following requirements from the time of entry through delivery of an Award (defined below), if applicable (each person meeting these requirements is herein referred to as an "Eligible Individual").
 - You must be a legal resident of the fifty (50) United States or District of Columbia (other than those excluded below);
 - You must be at least eighteen (18) years of age;
 - You must have a social security number or tax identification number;
 - You must <u>NOT</u> be an Employee or board member (or an immediate family member of such person) of the Ohio Tuition Trust Authority, the Ohio Department of Higher Education, or any of the following: Columbus Blue Jackets, Columbus Blue Jackets Foundation, Nationwide Arena, the National Hockey League, Columbus Arena Management LLC, the Franklin County Convention Facilities Authority, or, solely with respect to employees who work in connection with Nationwide Arena, the Ohio State University. Immediate family members are defined as: parents, children, spouses or siblings and their respective spouses, regardless of where they reside, and those living in the same households, whether or not related; and
 - You must NOT reside in Florida, New York, Rhode Island or any other state or locality where this Sweepstakes is prohibited.
- 6. **How to enter:** Eligible Individuals may enter the sweepstakes by completing the official CollegeAdvantage Columbus Blue Jackets College Savings Assist Sweepstakes Form on (i) the landing page at the CollegeAdvantage website: www.collegeadvantage.com/BlueJackets for the entire sweepstakes period (i.e., January 1, 2022 through March 17, 2022) or (ii) on the landing page at the Columbus Blue Jackets' website from January 1, 2022 through January 31, 2022: https://www.nhl.com/bluejackets/fans/collegeadvantage-sweepstakes.
- 7. **Enter by mail:** Eligible Individuals may, as an alternative, mail a 4"x 6" postcard with your first name, last name, date of birth including year, mailing address, and a valid email address to: Ohio Tuition Trust Authority, CollegeAdvantage Columbus Blue Jackets College Savings Assist Giveaway,35 E. Chestnut Street, 8th Floor, Columbus, OH 43215. Mailed entries must be received by OTTA on or before Wednesday, March 9, 2022, in order to be included in the drawing. Limit one entry, per postmark date, per stamped outer envelope.
- 8. Deadline: Deadline for entry online is 11:59 p.m. ET on Thursday, March 17, 2022.
- 9. **Drawing date:** A random drawing will be held by the Ohio Tuition Trust Authority ("OTTA") no later than Tuesday, March 22, 2022.
- 10. **Number of winners and Awards:** One (1) Eligible Individual (the "Grand Prize Winner") will be selected by OTTA to win \$10,000, which will be deposited into a CollegeAdvantage 529 Direct Plan College Savings

Account and up to four (4) tickets to the April 24, 2022, Columbus Blue Jackets game for the Grand Prize presentation (the "Grand Prize Award"). One (1) Eligible Individual (the "Second Place Winner") will be selected by OTTA to win a Blue Jackets virtual appearance by Stinger (a "Virtual Visit"), however only schools located in Franklin, Delaware, Licking, Fairfield, Pickaway, Madison or Union Counties, Ohio are eligible to receive a Virtual Visit (the "Second Place Award"). Eight Eligible Individuals (the "Runners-up") will be selected by OTTA to win a piece of autographed Blue Jackets memorabilia (the "Runner-up Awards"). Together, the Grand Prize Winner, Second Place Winner, and Runners-up are referred to as the "Winners" or a "Winner" and the Grand Prize Award, Second Place Award and Runner-up Awards are referred to as "Awards" or an "Award." OTTA is solely responsible for contacting the Second Place Winner and Runnersup, and the Columbus Blue Jackets is solely responsible for fulfillment of the Second Place Award and Runner-up Awards. OTTA bears no liability related to delivery of to the Second Place Award or Runner-up Awards. In order to receive the Second Place Award, the Second Place Winner must have a child enrolled in a school located in one of the Central Ohio counties listed above. If the Winner initially selected to receive the Second Place Award does not have a child enrolled in a school in central Ohio, or the Columbus Blue Jackets and the school cannot schedule a Virtual Visit for any reason, the Second Place Winner will receive a Runner-up Award, and a new Second Place Winner who does meet that requirement will be selected to receive the Second Place Award. OTTA reserves the right to cease drawing new Eligible Individuals for the Second Place Award after two failed attempts to identify a Winner who is able to accept a Virtual Visit. OTTA and Columbus Blue Jackets are not liable in the event that the Second Place Award is unable to be awarded or accepted.

- 11. Winner notification method and date: The Grand Prize Winner will be contacted by OTTA by email no later than Friday, March 25, 2022, and will have three (3) business days to claim their Award (the "Deadline"). After the Grand Prize Award has been accepted, the Second Place Winner and Runners-up will be notified of their award by OTTA by email. The Second Place Winner and Runners-up have two (2) business days to claim their Awards (the "Secondary Deadline").
- 12. **Requirements for Grand Prize Award to be made:** Before the Grand Prize Award can be made, the Grand Prize Winner must:
 - Sign and return to OTTA, within three (3) business days of notification, an accurate, completed W-9
 tax form and a Recipient Acknowledgement of Terms of Award and Release form, both forms to be
 provided by OTTA, and
 - Identify at least one (1) beneficiary, but not more than six (6) beneficiaries, to receive the Award, and
 - Have, or establish within ten (10) business days of returning to OTTA a completed W-9 tax form and a
 Recipient Acknowledgement of Terms of Award and Release form, a CollegeAdvantage Direct 529
 Savings Plan account, with a designated Beneficiary and provide their eleven (11) digit account
 number to OTTA via a completed Recipient Acknowledgement of Terms of Award and Release form.
 If establishing a new CollegeAdvantage Direct 529 Savings Plan account, no initial contribution from
 the Winner is necessary (the Award will constitute the initial contribution). If contributing to and/or
 establishing multiple CollegeAdvantage Direct 529 Savings Plan accounts (up to six (6) total with a
 minimum allocation of \$25 to each account), the Winner must designate how the Award is
 distributed across said accounts.
- 13. **Requirements for Second Place Award and Runner-up Awards to be made:** Before the Second Place Award and Runner-up Awards can be made, the Second Place Winner and Runners-up must sign and return to OTTA, within two (2) business days of notification, an accurate, completed W-9 tax form and a Recipient Acknowledgment of Terms of Award and Release form, both forms to be provided by OTTA.
- 14. **Forfeit of Award:** If a Winner cannot be contacted using the email address provided with the Winner's entry or does not respond after reasonable attempts and after the Deadline (or Secondary Deadline, as applicable based on Section eleven (11) above) to claim an Award, OTTA will select a new Winner. Each Winner forfeits

any claim to their respective Award if OTTA has not received the information and documentation required in items twelve (12) and thirteen (13) above via mail, email, or fax within three (3) business days of notification. As stated in item twelve (12) above, if the Grand Prize Winner chooses to establish one or more (up to six (6) total) new CollegeAdvantage Direct 529 Savings Plan account(s) for deposit of the Grand Prize Award, such account(s) must be established within ten (10) business days of this deadline, or the Award will be forfeited. Any Award will also be forfeited if at any time OTTA discovers that you were not an Eligible Individual at the time of entry or at any time up to and including delivery of the Award. OTTA reserves the right at any time to remove the Grand Prize Award from the relevant account(s) if OTTA discovers after the Award has been deposited that incorrect information was provided, eligibility criteria were not met, or any other fact or circumstance exists that renders the delivery of the Award improper. The Second Place Winner forfeits the Second Place Award if any applicable conditions in this item fourteen (14) occur or if the Second Place Winner is ineligible or unable to accept a Virtual Visit as described in item ten (10) above.

- 15. **Public announcement of winners:** Winners will be announced no later than Sunday, April 17, 2022, on the CollegeAdvantage Facebook page. Any person may also receive a list of Winners by sending a self-addressed, stamped envelope to: Ohio Tuition Trust Authority, Columbus Blue Jackets College Savings Assist Giveaway, 35 E. Chestnut Street, 8th Floor, Columbus, OH 43215. Such request must be postmarked no later than Sunday, April 24, 2022.
- 16. **Publicity Release:** By entering this Sweepstakes you agree, except where prohibited, to have your name, address (city and state), photograph, voice and/or other likeness and Award information used for advertising, trade and promotional purposes and without further compensation, in all media now known or hereafter discovered, in any manner, worldwide in perpetuity without notice or review or approval at the discretion of OTTA. Any such information is being collected by OTTA and not by Facebook or any other person or entity. This item sixteen (16) does not apply to residents of Tennessee or any other location where this release is prohibited by law.
- 17. **Permission for Communications and Privacy Policy:** By entering this Sweepstakes, you agree to receive information from OTTA about their products and the CollegeAdvantage Program via mail, email and any other method of communication. Any information collected during this Sweepstakes is being collected by OTTA and not by Facebook or any other person or entity. OTTA does not share or sell any of its lists of contact information with or to third parties.
- 18. **Reservation of Rights.** OTTA reserves the right to cancel or modify the terms of the Sweepstakes at any time, for any reason, with or without notice to Sweepstakes entrants, and by participating in the Sweepstakes, you agree to be bound by such cancellation and/or modification.
- 19. **Tax Liability.** OTTA is not qualified to give tax advice and nothing in these Sweepstakes Rules nor any communication from OTTA regarding this Sweepstakes or otherwise shall be deemed tax advice. OTTA hereby discloses that the Grand Prize Award is includible in the gross income of the Grand Prize Winner, and such Winner is responsible for reporting and paying any federal and/or state tax on the Award. OTTA will report to federal and state tax authorities the distribution of the Grand Prize Award and provide the Grand Prize Winner with a Federal Form 1099-Misc. OTTA also discloses that to the extent that the Second Place Award or Runner-up Awards have a fair market value, such amount is also includible in the gross income of those Winners, and such Winners are responsible for reporting and paying any federal and/or state tax on the Award. Any Winner(s) should consult a tax advisor regarding any tax liability arising from any award(s) received as a part of this Sweepstakes or otherwise.
- 20. **Limitations of Liability; Forum.** OTTA and Columbus Blue Jackets are not responsible for lost, late, incomplete, inaccurate, stolen, delayed, misdirected, undelivered, or garbled notifications, mail or entries or postage-due mail; or for lost, interrupted or unavailable network, server, Internet Service Provider ("ISP"), website or other connections, availability or accessibility or miscommunications or failed computer, satellite, telephone or cable transmissions, lines, or technical failure or jumbled, scrambled, delayed, or misdirected transmissions or computer hardware or software malfunctions, failures or difficulties, or other

errors or difficulties of any kind whether human, mechanical, electronic, computer, network, typographical, printing or otherwise relating to or in connection with the Sweepstakes, including, without limitation, errors or difficulties which may occur in connection with the administration of the Sweepstakes, the processing of entries, the announcement of the prizes or in any Sweepstakes-related materials. OTTA and Columbus Blue Jackets are also not responsible for any incorrect or inaccurate information, whether caused by site users, tampering, hacking or by any equipment or programming associated with or utilized in the Sweepstakes. OTTA and Columbus Blue Jackets are not responsible for any Award mailed to an address provided by a Winner but not delivered, regardless of the reason for non-delivery, or for any Award that a Winner is unable to use, whether due to cancellation of the event, personal circumstances or any other reason. OTTA and Columbus Blue Jackets are not responsible for injury or damage to an entrant's or any other person's computer related to or resulting from participating in this Sweepstakes or downloading materials from or use of the website. Entrants, by participating, agree that Columbus Blue Jackets, OTTA, the Ohio Department of Higher Education and the State of Ohio, or any agency or instrumentality thereof, will have no liability whatsoever for, and shall be held harmless by entrants against, any liability, for any injuries, losses or damages of any kind, including death, to persons, or property resulting in whole or in part, directly or indirectly, from acceptance, possession, misuse or use of an Award or participation in this Sweepstakes. By entering this Sweepstakes, you hereby submit to the exclusive jurisdiction of the court of Franklin County, Ohio.

21. Disclaimers: This Sweepstakes is subject to all applicable federal, state and local laws. Void in Florida, New York, Rhode Island and where prohibited. Odds may vary based on the number of eligible entries received. Persons who tamper with, hack, manipulate or abuse any aspect of the Sweepstakes or website, or who attempt to do the same may be subject to prosecution, and persons engaging in any of the foregoing activities or who are in violation of these Sweepstakes Rules, as solely determined by OTTA, will be disqualified and all associated entries will be void. OTTA reserves the right, at its sole discretion, to suspend, modify or terminate the Sweepstakes should any portion of the Sweepstakes be, in OTTA's sole opinion, compromised by virus, worms, bugs, non-authorized human intervention or other causes which, in the sole opinion of OTTA, corrupt or impair the administration, security, fairness or proper play, or submission of entries. If terminated, OTTA may, at its discretion, select the potential winners for the drawing at issue from all eligible, non-suspect entries received for such drawing prior to action taken or as otherwise deemed fair and appropriate by OTTA. OTTA and Columbus Blue Jackets are not responsible for typographical or printing errors in these Sweepstakes Rules or in any other documents related to this Sweepstakes. Standard data charges from your mobile carrier may apply if entering this Sweepstakes on a mobile device. In the event of a dispute regarding entries received from multiple users having the same email account, the authorized subscriber of the email account at the time of entry will be deemed to be the entrant and must comply with these rules. Authorized account subscriber is the natural person who is assigned the email address by the ISP, on-line service provider, or other organization responsible for assigning email addresses. The Awards may be considered income, and any and all taxes associated with an Award are the responsibility of the Winner. The Approximate Retail Value ("ARV") of the Grand Prize Award is \$10,600 (\$10,000 college savings award + \$600 for the cost of tickets.) The Second Place Award has no recognized retail value, and the Runner-up Awards are of unknown fair market value. The Second Place Award and Runner-up Awards will be fulfilled by the Columbus Blue Jackets, and OTTA bears no responsibility and has no liability whatsoever with respect to fulfillment of those Awards. Winners may not substitute, assign or transfer an Award, but OTTA reserves the right, at its sole discretion, to substitute a prize of comparable or greater value for the Grand Prize Award and Columbus Blue Jackets reserves the right, at its sole discretion to substitute a prize of comparable or greater value for the Second Place Award and Runner-up Awards. All Grand Prize Award details are at OTTA's sole discretion. All Second Place Award and Runner-up Award details are at the Columbus Blue Jacket's sole discretion. Limit one (1) Award per person/household.